

# ATTRACTORS FOR ITERATED FUNCTION SCHEMES

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ABSTRACT. Let  $X$  be a compact metric space with  $\mathcal{S} = \{S_1, \dots, S_N\}$  a finite set of contraction maps from  $X$  to itself. Call a subset  $F$  of  $X$  an attractor for the iterated function scheme (IFS)  $\mathcal{S}$  if  $F = \cup_{i=1}^N S_i(F)$ . Working primarily on the unit interval  $I = [0, 1]$ , we show that

- (1) the typical closed set in  $[0, 1]$  is not an attractor of an IFS, and describe the closed sets that comprise the  $F_{\mathcal{S}}$  set of attractors;
- (2) both the set of attractors and its complement are dense subsets of  $(\mathcal{K}([0, 1]), \mathcal{H})$ ;
- (3) the set of attractors is path-connected;
- (4) every countable compact subset of  $[0, 1]$  of finite Cantor-Bendixson rank is homeomorphic to an attractor, and
- (5) every nowhere dense uncountable compact subset of  $[0, 1]$  is homeomorphic to an attractor.

## 1. INTRODUCTION

Let  $X$  be a complete metric space with  $\mathcal{S} = \{S_1, \dots, S_N\}$  a finite set of contraction maps from  $X$  to itself. We call a subset  $F$  of  $X$  *invariant*, or *an attractor*, for the iterated function scheme ([11]) (or iterated function system) (IFS)  $\mathcal{S}$  if  $F = \cup_{i=1}^N S_i(F) = \mathcal{S}(F)$ . It turns out that, for a particular finite set of contraction maps  $\mathcal{S}$ , there exist a unique invariant set  $F \subseteq X$ . This and other results from [12] are summarized below.

**Theorem 1.1.** *Let  $(X, d)$  be a complete metric space with  $\mathcal{S} = \{S_1, \dots, S_N\}$  a finite set of contraction maps from  $X$  to itself.*

- a. *There exists a unique compact set  $F \subseteq X$  such that  $F = \mathcal{S}(F)$ .*
- b. *The set  $F$  is the closure of the set of fixed points  $s_{i_1 \dots i_p}$  of finite compositions  $S_{i_1} \circ \dots \circ S_{i_p}$  of members of  $\mathcal{S}$ .*
- c. *If  $A$  is any compact set in  $X$ , then  $\lim_{p \rightarrow \infty} \mathcal{S}^p(A) = F$  in the Hausdorff metric.*

Now, suppose that the contraction maps are similarities, so that  $|S_i(x) - S_i(y)| = r_i|x - y|$  for all  $x, y$  in  $X$ , and  $0 < r_i < 1$ . Each  $S_i$  transforms subsets of  $X$  into geometrically similar sets, giving rise to invariant sets that are self-similar. When the images of the  $S_i(F)$  do not overlap “too much” (see the *open set condition* in section 2), the self-similar set  $F = \cup_{i=1}^N S_i(F)$  has Hausdorff dimension equal to the value of  $s$  satisfying  $\sum_{i \in N} r_i^s = 1$ .

Inspired by [1] and [2], we are interested, as, say, in [6], [7], [8], [9] and [10], in the

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structure of attractors. Our goal is to better understand the structure of invariant sets generated by finite collections of contraction maps. We furnish the class  $\mathcal{K}$  of compact subsets of  $X$  with the Hausdorff metric  $\mathcal{H}$ ; this space is complete, so that good use can be made of the Baire category theorem.

Let  $\mathcal{T} = \{F \in \mathcal{K}(X) : F = \mathcal{S}(F); \mathcal{S} \text{ a finite collection of contraction maps}\}$ . We show that  $\mathcal{T}$  is an  $F_\sigma$  subset of  $\mathcal{K}$  and, in the case that  $X = [0, 1]$ , we show that  $\mathcal{T}$  is of the first category. When  $X = [0, 1]$ , both  $\mathcal{T}$  and  $\mathcal{K}(X) \setminus \mathcal{T}$  are dense in  $\mathcal{K}(X)$ , and  $\mathcal{T}$  is path-connected. Moreover, every nowhere dense uncountable element of  $(\mathcal{K}([0, 1]), \mathcal{H})$  is homeomorphic to an element of  $\mathcal{T}$ , as is the case for any countable element of  $(\mathcal{K}([0, 1]), \mathcal{H})$  of finite Cantor-Bendixon rank.

We proceed through several sections. After presenting notation, definitions and necessary previously known results in section 2, we present several examples of invariant sets in section 3. In section 4 we show that the collection of invariant sets is an  $F_\sigma$  set for any  $X$ , while the collection of invariant sets is also of the first category, should  $X = [0, 1]$ . We do this by establishing the existence of the set  $\mathcal{K}^* \setminus \mathcal{A}$ , residual in  $\mathcal{K}([0, 1])$ , with the property that  $(\mathcal{K}^* \setminus \mathcal{A}) \cap \mathcal{T} = \emptyset$ . Every element of  $\mathcal{K}^* \setminus \mathcal{A}$  is nowhere dense and perfect in  $[0, 1]$ , hence homeomorphic to the middle thirds Cantor set,  $Q$ . Since, as is well-known,  $Q$  is an attractor (see Example 3.1), each element of the residual  $\mathcal{K}^* \setminus \mathcal{A}$ , while not itself an attractor, is homeomorphic to the element  $Q \in \mathcal{T}$ . This motivates the final section, which establishes that every nowhere dense uncountable subset of  $[0, 1]$  is homeomorphic to an attractor for a contractive system.

## 2. PRELIMINARIES

We will do most of our work in two metric spaces. Let  $(X, d)$  be a complete metric space. As in [12], let  $\mathcal{B}(X)$  be the class of non-empty closed and bounded subsets of  $X$ . We endow  $\mathcal{B}(X)$  with the Hausdorff metric  $\mathcal{H}$  given by  $\mathcal{H}(E, F) = \inf\{\delta > 0 : E \subset B_\delta(F), F \subset B_\delta(E)\}$ . This space is complete. In the case that  $X$  is also compact, then  $\mathcal{B}(X) = \mathcal{K}(X)$ , where  $\mathcal{K}(X)$  is the class of non-empty compact subsets of  $X$ , and  $(\mathcal{K}(X), \mathcal{H})$  is also compact [3]. As for the second metric space, let  $C(X, X)$  be the collection of continuous self-maps of  $X$ . When coupled with the supremum norm,  $C(X, X)$  is complete. For a fixed  $m > 0$ , let  $Lip\ m$  denote the collection of Lipschitz maps  $f : X \rightarrow X$  with Lipschitz constant less than or equal to  $m$ . Should  $m < 1$ , then  $f \in Lip\ m$  is a contraction map.

Let  $\Phi$  denote the set of functions  $\phi$  that are continuous and increasing on  $[0, 1]$ , with  $\phi(0) = 0$ . Let  $n \in \mathbb{N}$ , and let  $E \subseteq [0, 1]$ . For  $\phi \in \Phi$  set

$$\mathcal{H}_n^\phi(E) = \left\{ \sum \phi(|I_j|) : E \subseteq \cup I_j, I_j \text{ an open interval of length } |I_j| \leq \frac{1}{n} \right\}.$$

Then  $\mathcal{H}^\phi = \lim_{n \rightarrow \infty} \mathcal{H}_n^\phi$  defines a measure on the Borel sets in  $[0, 1]$ . In what follows, we will be concerned primarily with closed sets. Should  $\phi(x) = x^s$  for some  $s > 0$ , then we have the standard Hausdorff  $s$ -dimensional measure ([11], [13]).

A *portion*  $P$  of a closed set  $E \subseteq [0, 1]$  is a nonempty set of the form  $P = E \cap J$ , where  $J$  is an open interval, and take  $\overline{\text{conv}}(F)$  to be the convex closure of  $F \subseteq [0, 1]$ .

Let  $\mathcal{S} = \{S_1, \dots, S_N\}$  be a finite set of contraction maps. Then,  $\mathcal{S}$  is said to satisfy the *open set condition (OSC)* if there is a non-empty open set  $V$  such that  $\cup_{i \in I} S_i(V) \subseteq V$  and  $S_i(V) \cap S_j(V) = \emptyset$ , whenever  $i \neq j$  ([15], [12]). Now, suppose that, for each  $i$ ,  $S_i(x) = r_i x + b_i$ , where  $b_i \in \mathbb{R}$  with  $0 < |r_i| < 1$ , and take  $s$  such that  $\sum_{i \in N} |r_i|^s = 1$ . If  $F = \cup_{i=1}^N S_i(F)$ , then  $0 < \mathcal{H}^s(F) < \infty$ , and

$\mathcal{H}^s(S_i(F) \cap S_j(F)) = 0$ , whenever  $i \neq j$ .

Since  $(\mathcal{K}(X), \mathcal{H})$  is complete, we will be able to make good use of the Baire category theorem. A set is of the first category in  $(X, d)$  if it can be written as a countable union of nowhere dense sets; otherwise, the set is of the second category. A set is residual if it is the complement of a first category set; an element of a residual subset of  $(X, d)$  is called a typical, or generic, element of  $X$ . With these definitions in mind, we recall Baire's theorem on category [14].

**Theorem 2.1.** *Let  $(X, d)$  be a complete metric space with  $B$  a first category subset of  $X$ . Then  $X \setminus B$  is dense in  $X$ .*

In section 4 we use the following results from [4]. Let  $\mathcal{K}^*$  be the collection of all Cantor sets contained in the irrationals. Then  $\mathcal{K}^*$  is a residual subset of  $\mathcal{K}([0, 1])$ . Now set

$\mathcal{A} = \{E \in \mathcal{K}([0, 1]) : \text{there are disjoint portions } P \text{ and } Q \text{ of } E \text{ and } f \in \text{Lip such that } f(P) \supseteq Q\}$ .

Then  $\mathcal{A}$  is a first category  $F_\sigma$  in  $\mathcal{K}([0, 1])$ , so that  $\mathcal{K}^* \setminus \mathcal{A}$  is also residual in  $\mathcal{K}([0, 1])$ .

**Theorem 2.2.** *Let  $E \in \mathcal{K}^* \setminus \mathcal{A}$ . Then the only Lipschitz map that maps  $E$  onto  $E$  is the identity map.*

To facilitate our work on  $Q$ , the middle thirds Cantor set in  $[0, 1]$ , we use the following notation suggested in [5]. Let  $\mathcal{N} = \{0, 1\}^{\mathbb{N}}$ , and if  $\mathbf{n} \in \mathcal{N}$  with  $\mathbf{n} = \{n_j\}_{j=1}^{\infty}$ , we set  $\mathbf{n}|k = (n_1, n_2, \dots, n_k)$ . By  $\mathbf{0}$  (respectively  $\mathbf{1}$ ), we mean that  $\mathbf{n} \in \mathcal{N}$  such that  $n_i = 0$  (respectively  $n_i = 1$ ) for all  $i$ . We let  $\{J_{\mathbf{n}|k} : \mathbf{n} \in \mathcal{N}\}$  be the  $2^k$  closed intervals of length  $\frac{1}{3^k}$  found in the  $k^{\text{th}}$  step of the canonical construction of  $Q$ , with  $J_{\mathbf{n}|k,0}$  lying to the left of  $J_{\mathbf{n}|k,1}$ , for all  $k \geq 0$ . Let  $E_k = \bigcup_{\mathbf{n} \in \mathcal{N}} J_{\mathbf{n}|k}$ . It follows, then, that  $Q = \bigcup_{\mathbf{n} \in \mathcal{N}} \bigcap_{k=1}^{\infty} J_{\mathbf{n}|k} = \bigcap_{k=1}^{\infty} E_k$ , and  $J_{\mathbf{n}} = \bigcap_{k=1}^{\infty} J_{\mathbf{n}|k}$  is a singleton for every  $\mathbf{n} \in \mathcal{N}$ . Let  $G = (\frac{1}{3}, \frac{2}{3})$  be the complementary interval of  $Q$  between  $J_0$  and  $J_1$ . In general, let  $G_{\mathbf{n}|k}$  be that component of  $[0, 1] \setminus Q$  between  $J_{\mathbf{n}|k,0}$  and  $J_{\mathbf{n}|k,1}$ . In section 5 we make extensive use of clopen portions of  $Q$  of the form  $J_{\mathbf{n}|k} \cap Q$ , and frequently refer to them as *canonical portions of  $Q$* .

We also need the Ascoli-Arzelà theorem in section 4.

**Theorem 2.3.** *Let  $(X, d)$  be a compact metric space, and let  $C$  be a closed subset of  $C(X, X)$ . Then  $C$  is compact if and only if  $K$  is uniformly bounded and equicontinuous.*

Finally, let  $A$  be a subset of  $[0, 1]$ . The set of all limit points of  $A$  is called the *derived set of  $A$*  and is denoted by  $A'$ . By transfinite induction we define sets  $A_r$  for every ordinal  $r$ , as follows. We let  $A_0 = A$  and  $A_1$  denote the derived set  $A'$  of  $A$ ,  $A_r = \bigcap_{\alpha < r} A_\alpha$  if  $r$  is a limit ordinal, and  $A_r$  is the set of limit points of  $A_{r-1}$  otherwise. Hence, by ([16]: Theorem 37, section 26), for every closed set there exists an ordinal number  $\beta < \Omega$  (where  $\Omega$  is the least ordinal corresponding to uncountable sets) such that  $A_\xi = A_\beta$ , for  $\beta < \xi < \Omega$ , and so also  $A_\Omega = A_\beta$ . Let  $\beta$  be the smallest ordinal with the above property. Then  $\beta$  is called the *Cantor-Bendixon rank of  $A$* , and  $A_\beta$  its *set of highest order limit points*. We denote  $\beta$  by  $T(A)$ . Let  $A \subset [0, 1]$  be a countable compact set. Then, clearly,  $A_\beta$  is non-empty and finite, and  $A_{\beta+1} = \emptyset$ .

### 3. EXAMPLES OF ATTRACTORS

In section 4 and 5 we are concerned with the topological structure of attractors. In this brief section we present a few examples in order to give a flavor of the myriad of possibilities.

**Example 3.1.** *Cantor set.*

Let  $S_1(x) = \frac{1}{3}x$  and  $S_2(x) = \frac{1}{3}x + \frac{2}{3}$ . Then, as it is well-known,  $Q = S_1(Q) \cup S_2(Q)$ , where  $Q$  is the middle thirds Cantor set. Since  $\{S_1, S_2\}$  satisfies the OSC with  $V = (0, 1)$ , it follows that the Hausdorff dimension of  $Q$  is  $s = \frac{\log 2}{\log 3}$ , as  $2(\frac{1}{3})^s = 1$ .

**Example 3.2.** *Interval attractor.*

Let  $S_1(x) = \frac{1}{3}x$ ,  $S_2(x) = \frac{1}{3}x + \frac{1}{3}$  and  $S_3(x) = \frac{1}{3}x + \frac{2}{3}$ . Then,  $[0, 1] = \cup_{i=1}^3 S_i([0, 1])$ . Moreover,  $\{S_1, S_2, S_3\}$  satisfies the OSC with  $V = (0, 1)$ , and as we expect, the Hausdorff dimension of  $[0, 1]$  is 1 as  $3(\frac{1}{3})^1 = 1$ .

**Example 3.3.** *Countable attractor.*

Let  $S_1(x) = \frac{1}{3}x$  and  $S_2(x) = 1$ . Then  $F = \{\cup_{j=0}^{\infty} \frac{1}{3^j}\} \cup \{0\} = \mathcal{S}(F)$ , where  $\mathcal{S} = \{S_1, S_2\}$ , as  $S_1(F) = \{0\} \cup \bigcup_{j=0}^{\infty} \frac{1}{3^{j+1}}$  and  $S_2(F) = 1 = \frac{1}{3^0}$ . The IFS  $\mathcal{S}$  satisfies the OSC with  $V = (0, 1)$ . Then, the dimension  $s$  of  $F$  is given by the equation,

$$\left(\frac{1}{3}\right)^s + 0^s = 1,$$

so that, as we expect as  $F$  is countable,  $s = \dim(F) = 0$ .

The following example verifies that one can frequently combine types of attractors in a predictable way.

**Example 3.4.** *Attractor of the form  $Q \cup C$  with  $Q \cap C = \emptyset$ , where  $Q$  is a Cantor set,  $C$  is countable and  $Q \supset C$ .*

Let  $X = [0, 1]$  and  $F = Q \cup C$ , where  $Q$  is the middle thirds Cantor set, and  $C$  is the collection of the mid-points of each open interval complementary to  $Q$ . Let  $S_1(x) = \frac{1}{3}x$ ,  $S_2(x) = \frac{1}{2}$  and  $S_3(x) = \frac{1}{3}x + \frac{2}{3}$ . Then  $S_1(F) = F \cap [0, \frac{1}{3}]$ ,  $S_2(F) = \frac{1}{2}$  and  $S_3(F) = F \cap [\frac{2}{3}, 1]$ . The dimension  $s$  of  $F$  is given by the equation,

$$\left(\frac{1}{3}\right)^s + 0^s + \left(\frac{1}{3}\right)^s = 2\left(\frac{1}{3}\right)^s = 1,$$

so that, as we expect and is well-known,  $s = \frac{\log 2}{\log 3}$ .

**Example 3.5.** *Attractors with countably many non-degenerate closed intervals which converge to a unique point  $\{0\}$ .*

Let  $X = [0, 1]$  with

$$\begin{aligned} F &= \{0\} \cup \bigcup_{j=0}^{\infty} \left[\frac{2}{3^{j+1}}, \frac{1}{3^j}\right] \\ &= \{0\} \cup \dots \cup \left[\frac{2}{27}, \frac{1}{9}\right] \cup \left[\frac{2}{9}, \frac{1}{3}\right] \cup \left[\frac{2}{3}, 1\right]. \end{aligned}$$

Let  $S_1(x) = \frac{1}{3}x$ ,  $S_2(x) = \frac{2}{3} + \frac{2}{3}\lambda([0, x] \cap F)$  and  $\lambda$  be Lebesgue measure. Then,  $S_2$  is linear with slope  $\frac{2}{3}$  on each component of  $F$ , and constant on the intervals complementary to  $F$ . We conclude that  $S_2 \in Lip \frac{2}{3}$ . Moreover,  $S_1(F) = \{0\} \cup \bigcup_{j=1}^{\infty} [\frac{2}{3^{j+1}}, \frac{1}{3^j}]$  and  $S_2(F) = [\frac{2}{3}, 1]$ . Note that  $\mathcal{S} = \{S_1, S_2\}$  satisfies the OSC with  $V = (0, 1)$ .

**Example 3.6.** *Constructing new examples of attractors based on the existence of some  $F = \cup_{i=1}^n S_i(F) = \mathcal{S}(F) \subseteq [0, 1]$ , and  $S_i : I \rightarrow I$ .*

- (1) Let  $h : [a, b] \rightarrow [p, q] = \overline{\text{conv}} F$  be a linear homeomorphism, and  $\mathcal{S}' = \{S'_1, \dots, S'_n\}$ , where  $S'_i : [0, 1] \rightarrow [0, 1]$  and
  - (i.)  $S'_i = h^{-1} \circ S_i \circ h$  on  $[a, b]$ ,
  - (ii.)  $S'_i(x) = S'_i(a)$  for  $x \in [0, a]$ , and

(iii.)  $S'_i(x) = S'_i(b)$  for  $x \in [b, 1]$ .

Since  $S_i$  is a contraction and  $S_i(F) \subseteq F$ , it follows that  $S_i([p, q]) \subseteq [p, q]$ , and  $S'_i([0, 1]) \subseteq [a, b]$ . Now,

$$S'_i(h^{-1}(F)) = (h^{-1} \circ S_i \circ h)(h^{-1}(F)) = (h^{-1} \circ S_i)(F).$$

Thus,

$$\begin{aligned} \cup_{i=1}^n S'_i(h^{-1}(F)) &= \cup_{i=1}^n (h^{-1} \circ S_i)(F) \\ &= h^{-1}(\cup_{i=1}^n S_i(F)) \\ &= h^{-1}(F), \end{aligned}$$

and  $h^{-1}(F)$  is the attractor of  $\mathcal{S}' = \{S'_1, \dots, S'_n\}$ .

(2) Set  $[a, b] = [\frac{3}{4}, 1]$  and let  $\mathcal{S}'' = \{\mathcal{S}', S_{n+1} = \frac{1}{3}x\}$ . Let  $E = \{0\} \cup \bigcup_{j=0}^{\infty} (\frac{1}{3})^j h^{-1}(F)$  and  $(\frac{1}{3})^j h^{-1}(F) = \{\frac{x}{3^j} : x \in h^{-1}(F)\}$ . We show that  $E = \mathcal{S}''(E)$ . This follows from the observation that (a)  $\mathcal{S}'(E) = h^{-1}(F)$ , and (b)  $S_{n+1}(E) = \{0\} \cup \bigcup_{j=1}^{\infty} (\frac{1}{3})^j h^{-1}(F)$ .

(a): Let  $S'_i \in \mathcal{S}$ . Then

$$\begin{aligned} S'_i(E) &= S'_i(\{0\} \cup \bigcup_{j=0}^{\infty} (\frac{1}{3})^j h^{-1}(F)) \\ &= S'_i(\{0\} \cup \bigcup_{j=1}^{\infty} (\frac{1}{3})^j h^{-1}(F)) \cup S'_i(h^{-1}(F)) \\ &= S'_i(a) \cup h^{-1}(S_i(F)), \end{aligned}$$

and, since  $S'_i(a) = (h^{-1} \circ S_i \circ h)(a) = h^{-1}(S_i(a))$  is an element of  $h^{-1}(S_i(F))$ , we have

$$S'_i(E) = h^{-1}(S_i(F)).$$

(b): This, of course, follows from  $S_{n+1}(x) = \frac{1}{3}x$  and the definition of  $E$ . We conclude that

$$\begin{aligned} \mathcal{S}''(E) &= \mathcal{S}'(E) \cup S_{n+1}(E) \\ &= h^{-1}(F) \cup (\{0\} \cup \bigcup_{j=1}^{\infty} (\frac{1}{3})^j h^{-1}(F)) \\ &= \{0\} \cup \bigcup_{j=0}^{\infty} (\frac{1}{3})^j h^{-1}(F) \\ &= E. \end{aligned}$$

Suppose that  $A$  and  $B$  are countable compact subsets of  $[0, 1]$ . From ([17]: Proposition 2) we know that if  $A$  and  $B$  have the same rank and the same number of highest order limit points, then they are homeomorphic. This observation, coupled with Example 3.3 and Example 3.6, gives the following result.

**Theorem 3.7.** *If  $F \in \mathcal{K}([0, 1])$  is a countable set of finite rank, then there exists  $F'$  homeomorphic to  $F$  and  $\mathcal{S} = \{S_1, \dots, S_n\}$  such that  $F' = \mathcal{S}(F')$ .*

*Proof.* Let  $t \in \mathbb{N}$ . We first show that there exists a countable set  $F$  in  $\mathcal{K}([0, 1])$  of rank  $t$ , and possessing a single limit point of that rank. We show this using induction. Consider

$\mathcal{S} = \{\frac{1}{3}x, 1\}$  so that  $S_2(x)$  is the constant function 1. Then  $|\mathcal{S}| = \{0\} \cup \bigcup_{j=0}^{\infty} (\frac{1}{3})^j$ , and  $|\mathcal{S}|$  is of rank 1, with  $\{0\}$  as its unique limit point of that rank. Now, suppose  $F = \bigcup_{i=1}^n S_i(F) = \mathcal{S}(F) \subseteq [0, 1]$ ,  $S_i : I \rightarrow I$  and  $F$  is of rank  $t$ . Using Example 3.6, we construct  $\mathcal{S}'' = \{\mathcal{S}', S_{n+1} = \frac{1}{3}x\}$  and  $E = \{0\} \cup \bigcup_{j=1}^{\infty} (\frac{1}{3})^j h^{-1}(F)$  so that  $\mathcal{S}''(E) = E$ . Since  $(\frac{1}{3})^j h^{-1}(F) \rightarrow 0$  as  $j \rightarrow \infty$ , it follows that  $E$  is of rank  $t + 1$ , and  $\{0\}$  is the unique limit point of that rank.

Now, suppose  $F = \bigcup_{i=1}^n S_i(F) = \mathcal{S}(F) \subseteq [0, 1]$ ,  $S_i : I \rightarrow I$  and  $F$  is of rank  $t$ . Let  $k \in \mathbb{N}$ . For  $1 \leq j \leq k$ , we construct  $\mathcal{S}_j' = \{S_{1,j}', \dots, S_{n,j}'\}$  so that  $h_j^{-1}(F)$  is a homeomorphic copy of  $F$  contained in  $[\frac{2j-1}{2k}, \frac{2j}{2k}]$ . Let  $E = \bigcup_{j=1}^k h_j^{-1}(F)$ , and  $\tilde{\mathcal{S}} = \{\mathcal{S}_1', \dots, \mathcal{S}_k'\}$ . Then,  $\tilde{\mathcal{S}}(E) = E$  and  $E$  is of rank  $t$  with  $k$  limit points of that order. We conclude by noting that if  $A$  and  $B$  are countable compact subsets having the same rank and the same number of highest order limit points, then they are homeomorphic ([17]: Proposition 2).  $\square$

We conclude this section by showing that any finite union of non-degenerated closed intervals in  $[0, 1]$  is an attractor.

**Proposition 3.8.** *Let  $\{J_1, J_2, \dots, J_n\}$  be a finite collection of disjoint nondegenerate closed intervals in  $I = [0, 1]$ . Then there exists  $\mathcal{S} = \{S_1, \dots, S_n\}$  a finite set of contraction maps on  $I$  such that  $F = \bigcup_{i=1}^n S_i(F)$ , where  $F = \bigcup_{i=1}^n J_i$ . Moreover, the set of contractions  $\mathcal{S} = \{S_1, \dots, S_n\}$  satisfies the open set condition.*

*Proof.* Suppose  $i = 1$ , so we have just one interval  $J_1$ . That  $J_1$  is an attractor follows from Examples 3.2 and 3.6. Now, let  $\{J_1, J_2, \dots, J_n\}$  be a finite collection of disjoint nondegenerate closed intervals in  $I = [0, 1]$ , with  $n \geq 2$ . Let  $J_i = [a_i, b_i]$ , with  $b_i < a_j$  whenever  $i < j$ . Let  $Q = \sum_{i=1}^n (b_i - a_i)$  be the sum of the lengths of the intervals  $J_i$ . Now, fix  $1 \leq i \leq n$ , and set  $P = b_i - a_i$ , the length of  $J_i$ . We define the contraction map  $S_i : I \rightarrow I$  so that  $S_i(F) = J_i$ , where  $F = \bigcup_{i=1}^n J_i$ . As one sees from the construction,  $S_i([0, 1]) = J_i$ , so that the resulting set of contraction maps on  $I$  satisfies the open set condition, with  $V = (0, 1)$ .

On  $J_1$ ,  $S_i(a_1) = a_i$ ,  $S_i(b_1) = a_i + \frac{P}{Q}(b_1 - a_1)$  and  $S_i$  is linearly extended to all of  $[a_1, b_1]$ . Now, let  $S_i|_{[b_1, a_2]}$  be the constant map  $a_i + \frac{P}{Q}(b_1 - a_1)$ , and set  $S_i(b_2) = a_i + \frac{P}{Q}(b_1 - a_1) + \frac{P}{Q}(b_2 - a_2) = a_i + \frac{P}{Q} \sum_{k=1}^2 (b_k - a_k)$ , with  $S_i$  linear on  $[a_2, b_2]$ . Continuing in this manner, we have

$$S_i(b_n) = a_i + \frac{P}{Q} \sum_{k=1}^n (b_k - a_k) = a_i + \frac{P}{Q} Q = a_i + P = b_i,$$

$S_i$  linear with slope  $m = \frac{P}{Q}$  on each  $J_k$  and constant on the complementary intervals  $[b_{k-1}, a_k]$ , and  $S_i(F) = S_i([0, 1]) = J_i$ .  $\square$

#### 4. THE SET OF ATTRACTORS

Let  $(X, d)$  be a compact metric space. The first main result of the section is Theorem 4.4, which establishes that the set

$$\mathcal{T} = \{F \in \mathcal{K}(X) : F = \mathcal{S}(F) \text{ with } \mathcal{S} \text{ a finite collection of contraction maps}\}$$

is an  $F_\sigma$  subset of  $(\mathcal{K}(X), \mathcal{H})$ . Lemma 4.3 describes the structure of the closed sets that comprise the  $F_\sigma$  set  $\mathcal{T}$ .

**Lemma 4.1.** *Let  $(X, d)$  be a compact metric space. Let  $\{F_i\}_{i \in \mathbb{N}}$  be a sequence converging to  $F$  in  $(\mathcal{K}(X), \mathcal{H})$ . Suppose there exists  $N \in \mathbb{N}$  such that, for each  $i$ ,  $F_i = K_i^1 \cup \dots \cup K_i^N$  with  $K_i^j \in \mathcal{K}$  for any  $1 \leq j \leq N$ . Then, there exists  $\{F_{i_j}\}_{j \in \mathbb{N}} \subseteq \{F_i\}_{i \in \mathbb{N}}$  so that*

- (1) for each  $i_j$ ,  $F_{i_j} = K_{i_j}^{\rho(1)} \cup \dots \cup K_{i_j}^{\rho(N)}$ , where  $\rho : \{1, \dots, N\} \rightarrow \{1, \dots, N\}$  is a bijection,  
 (2)  $F = K^{\rho(1)} \cup \dots \cup K^{\rho(L)}$ ,  $L \leq N$  and, for each  $1 \leq i \leq L$ ,  $\lim_{j \rightarrow \infty} K_{i_j}^{\rho(i)} = K^{\rho(i)}$ .

*Proof.* For each  $i$ , we have  $F_i = K_i^1 \cup \dots \cup K_i^N$ , and  $\lim_{i \rightarrow \infty} \mathcal{H}(F_i, F) = 0$ . Consider  $\{K_i^1\}_{i \in \mathbb{N}}$ . Since  $\mathcal{K}(X)$  is compact, there exists  $\{K_{i_j}^1\}_{j \in \mathbb{N}} \subseteq \{K_i^1\}_{i \in \mathbb{N}}$  such that  $\lim_{j \rightarrow \infty} K_{i_j}^1 = K^{\rho(1)}$ . Now, if  $K^{\rho(1)} = F$ , then we are done. Otherwise,  $F \setminus K^{\rho(1)} \neq \emptyset$ .

Let  $x \in F \setminus K^{\rho(1)}$ . Since  $\lim_{j \rightarrow \infty} F_{i_j} = F$ , and  $x \in F$ , for each  $i_j$  there is  $K_{i_j}^{\rho(2)} \in \{K_{i_j}^1, \dots, K_{i_j}^N\} \setminus K_{i_j}^{\rho(1)}$  and a subsequence  $\{K_{i_{j_l}}^{\rho(2)}\}_{l \in \mathbb{N}} \subseteq \{K_{i_j}^{\rho(2)}\}_{j \in \mathbb{N}}$  such that  $\lim_{l \rightarrow \infty} K_{i_{j_l}}^{\rho(2)} = K^{\rho(2)}$  and  $x \in K^{\rho(2)}$ . To simplify the notation, we rename the subsequence  $i_{j_l}$  as  $i_j$ . Now, if  $K^{\rho(1)} \cup K^{\rho(2)} = F$ , then we are done. Otherwise, let  $x \in F \setminus K^{\rho(1)} \cup K^{\rho(2)}$ , and as before, there exists for each  $i_j$ ,  $K_{i_j}^{\rho(3)} \in \{K_{i_j}^1, \dots, K_{i_j}^N\} \setminus \{K^{\rho(1)}, K^{\rho(2)}\}$  and a subsequence  $\{K_{i_{j_l}}^{\rho(3)}\}_{l \in \mathbb{N}} \subseteq \{K_{i_j}^{\rho(3)}\}_{j \in \mathbb{N}}$  such that  $\lim_{l \rightarrow \infty} K_{i_{j_l}}^{\rho(3)} = K^{\rho(3)}$  and  $x \in K^{\rho(3)}$ . We show that there exists  $L \leq N$  such that  $F = K^{\rho(1)} \cup \dots \cup K^{\rho(L)}$ . Suppose this is not the case. Then  $F \setminus K^{\rho(1)} \cup \dots \cup K^{\rho(N)} \neq \emptyset$ . Moreover, there is  $\{i_j\}_{j \in \mathbb{N}}$  such that  $F_{i_j} = K_{i_j}^{\rho(1)} \cup \dots \cup K_{i_j}^{\rho(N)}$  and  $\lim_{j \rightarrow \infty} K_{i_j}^{\rho(i)} = K^{\rho(i)}$  for each  $1 \leq i \leq N$ . But now we have a contradiction, as

$$\bigcup_{l=1}^N K_{i_j}^{\rho(l)} = F_{i_j}$$

and

$$\lim_{j \rightarrow \infty} F_{i_j} = F,$$

yet  $\lim_{j \rightarrow \infty} \bigcup_{l=1}^N K_{i_j}^{\rho(l)} = \bigcup_{l=1}^N K^{\rho(l)}$  is also a proper subset of  $F$ .  $\square$

**Lemma 4.2.** *Let  $(X, d)$  be a compact metric space. If  $\{E_k\}_{k \in \mathbb{N}}$  is a sequence in  $\mathcal{K}(X)$  such that  $\lim_{k \rightarrow \infty} \mathcal{H}(E_k, E) = 0$  and  $\{S_k\}_{k \in \mathbb{N}}$  is a sequence in  $\mathcal{C}(X, X)$  converging uniformly to  $S$ , then  $\lim_{k \rightarrow \infty} \mathcal{H}(S_k(E_k), S(E)) = 0$ .*

*Proof.* Since

$$\mathcal{H}(S_k(E_k), S(E)) \leq \mathcal{H}(S_k(E_k), S_k(E)) + \mathcal{H}(S_k(E), S(E))$$

and both,  $\mathcal{H}(S_k(E_k), S_k(E))$  and  $\mathcal{H}(S_k(E), S(E))$  converge to zero as  $k$  goes to  $\infty$ , the conclusion follows.  $\square$

**Lemma 4.3.** *Let  $(X, d)$  be a compact metric space. Let  $N \in \mathbb{N}$  and  $0 < m \leq 1$ . The set  $\mathcal{L}_{N,m} = \{F \in \mathcal{K}(X) : F = S(F) \text{ with } S = \{S_1, \dots, S_L\}, \text{ for } L \leq N \text{ and } \text{Lip } S_i \leq m\}$  is closed.*

*Proof.* Let  $\{F_i\}_{i \in \mathbb{N}}$  be a sequence in  $\mathcal{L}_{N,m}$  such that  $\lim_{i \rightarrow \infty} F_i = F$ . It suffices to find  $S = \{S_1, \dots, S_L\} \subseteq \text{Lip } m$ , where  $L \leq N$ , such that  $F = S(F)$ . From Lemma 4.1, there exists  $\{F_{i_j}\}_{j \in \mathbb{N}} \subseteq \{F_i\}_{i \in \mathbb{N}}$  such that each

$$F_{i_j} = S_{i_j}^{\rho(1)}(F_{i_j}) \cup \dots \cup S_{i_j}^{\rho(N)}(F_{i_j}) = K_{i_j}^{\rho(1)} \cup \dots \cup K_{i_j}^{\rho(N)} \quad (S_{i_j}^{\rho(l)} := K_{i_j}^{\rho(l)}),$$

$$\lim_{j \rightarrow \infty} F_{i_j} = F,$$

and

$$F = K^{\rho(1)} \cup \dots \cup K^{\rho(L)}, \text{ with } L \leq N,$$

and, for each  $1 \leq l \leq L$ ,

$$\lim_{j \rightarrow \infty} K_{i_j}^{\rho(l)} = K^{\rho(l)}.$$

Recall that, for each  $l$ ,  $S_{i_j}^{\rho(l)}(F) = K_{i_j}^{\rho(l)}$ , and  $\{S_{i_j}^{\rho(l)}\}_{j \in \mathbb{N}}$  is uniformly bounded and equicontinuous. Thus, by restricting our attention to a subsequence of  $\{i_j\}_{j \in \mathbb{N}}$  if necessary, we may assume that for each  $l$ ,  $S_{i_j}^{\rho(l)}$  converges to  $S^{\rho(l)}$  uniformly. This follows from the

Ascoli-Arzelà Theorem. Thus, by Lemma 4.2, as  $F_{i_j}$  converges to  $F$  in  $(\mathcal{K}(X), \mathcal{H})$  and  $S_{i_j}^{\rho(l)}$  converges to  $S^{\rho(l)}$  uniformly,

$$\lim_{j \rightarrow \infty} S_{i_j}^{\rho(l)}(F_{i_j}) = \lim_{j \rightarrow \infty} K_{i_j}^{\rho(l)} = K^{\rho(l)} = S^{\rho(l)}(F).$$

We conclude that  $F = \mathcal{S}(F)$ , where  $\mathcal{S} = \{S^{\rho(1)}, \dots, S^{\rho(L)}\}$ .  $\square$

**Theorem 4.4.** *Let  $(X, d)$  be a compact metric space. The set*

$$\mathcal{T} = \{F \in \mathcal{K}(X) : F = \mathcal{S}(F) \text{ with } \mathcal{S} \text{ a finite collection of contraction maps}\}$$

*is an  $F_\sigma$  subset of  $(\mathcal{K}(X), \mathcal{H})$ .*

*Proof.* Let  $N$  and  $l$  be in  $\mathbb{N}$ . By Lemma 4.3 the set

$$\mathcal{L}_{N,l} = \{F \in \mathcal{K}(X) : F = \mathcal{S}(F) \text{ with } \mathcal{S} = \{S_1, \dots, S_L\}, \text{ for } L \leq N \text{ and } \text{Lip} S_i \leq \frac{l-1}{l}\}$$

is closed for any  $N$  and  $l$  in  $\mathbb{N}$ . Now,

$$\mathcal{T} = \bigcup_{N=1}^{\infty} \bigcup_{l=1}^{\infty} \mathcal{L}_{N,l}.$$

$\square$

We now turn our attention to the case  $X = [0, 1]$ . Here we see that  $\mathcal{T}$  is quite “small” in  $\mathcal{K}([0, 1])$ , as the typical element of  $\mathcal{K}([0, 1])$  is not an attractor for any contractive system defined on the interval.

**Theorem 4.5.** *Let  $\mathcal{S} = \{S_1, \dots, S_N\}$  be a finite set of contraction maps on  $[0, 1]$ , with  $F = \bigcup_{i=1}^N S_i(F)$ . Then  $F \notin \mathcal{K}^* \setminus \mathcal{A}$ .*

*Proof.* Let  $E \in \mathcal{K}^* \setminus \mathcal{A}$ . Each  $S_i : I \rightarrow I$  is a contraction map, so that each  $S_i$  is Lipschitz. Fix  $i$ . From Theorem 2.2, if  $S_i(E)$  contains a portion  $P$  of  $E$ , then  $S_i|_E$  is the identity. Since  $S_i : I \rightarrow I$  is a contraction map, one concludes that  $S_i(E)$  is nowhere dense in  $E$ , for each  $1 \leq i \leq N$ . It follows, then, that  $\bigcup_{i=1}^N S_i(E)$  is also nowhere dense in  $E$ .  $\square$

**Corollary 4.6.** *The collection*

$$\mathcal{T} = \{F \in \mathcal{K}([0, 1]) : F = \mathcal{S}(F) \text{ with } \mathcal{S} \text{ a finite collection of contraction maps}\}$$

*is a first category  $F_\sigma$  subset of  $(\mathcal{K}([0, 1]), \mathcal{H})$ .*

*Proof.* This follows immediately from Theorem 2.2, Theorem 4.4 and Theorem 4.5.  $\square$

**Remark 4.7.** *This result is the best possible, since  $\{E \in \mathcal{K}([0, 1]) : E \text{ is finite}\}$  is dense in  $\mathcal{K}([0, 1])$  and contained in  $\mathcal{T}$ , and  $\mathcal{K}^* \setminus \mathcal{A}$  is dense in  $\mathcal{K}([0, 1])$  and contained in  $\mathcal{K}([0, 1]) \setminus \mathcal{T}$ . Moreover,  $\mathcal{T}$  is path-connected in  $\mathcal{K}([0, 1])$ . In particular, let  $F \in \mathcal{T}$ , with  $h_\epsilon$  the linear homeomorphism taking  $[0, 1]$  onto  $[0, \epsilon]$ , as in Example 3.6. Then,  $h_\epsilon(F) \subseteq [0, \epsilon]$ , and  $h_\epsilon(F) \in \mathcal{T}$ . Since  $\lim_{\epsilon \rightarrow 0} h_\epsilon(F) = \{0\}$ , we have a path-connected subset of  $\mathcal{T}$  connecting  $h_1(F) = F$  to  $\lim_{\epsilon \rightarrow 0} h_\epsilon(F) = \{0\}$ .*

## 5. ATTRACTORS AND HOMEOMORPHISMS

In section 4 we saw that there exists a residual subset  $\mathcal{K}^* \setminus \mathcal{A}$  of  $\mathcal{K}([0, 1])$  contained in the complement of

$$\mathcal{T} = \{F \in \mathcal{K}(X) : F = \mathcal{S}(F) \text{ with } \mathcal{S} \text{ a finite collection of contraction maps}\}.$$

Every element of  $\mathcal{K}^* \setminus \mathcal{A}$  is a Cantor set, and hence homeomorphic to  $Q$ , the middle thirds Cantor set. From Theorem 4.5 it follows, then, that no element of  $\mathcal{K}^* \setminus \mathcal{A}$  is an attractor, yet, by Example 3.1, every element of this residual subset of  $\mathcal{K}([0, 1])$  is homeomorphic to an attractor, namely  $Q$ . This observation is the starting point of section 5 where we



show that every nowhere dense uncountable element of  $\mathcal{K}([0, 1])$  is homeomorphic to an element of  $\mathcal{T}$ .

**Lemma 5.1.** *If  $C \in \mathcal{K}([0, 1])$  is countable, then there exists  $F$  a homeomorphic copy of  $C$  contained in  $Q$ , where  $Q$  is the middle thirds Cantor set.*

*Proof.* Let  $C = \{x_i\}_{i \in \mathbb{N}}$ . If there exists  $\delta > 0$  such that  $B_\delta(x_i) \cap C = \{x_i\}$ , then  $x_i$  is isolated in  $C$ . Let  $\{x_{i_j}\}_{j \in \mathbb{N}} \subseteq \{x_i\}_{i \in \mathbb{N}}$  be the set of isolated points of  $C$ . Take  $F_k = J_{\mathbf{n}|k} \cap Q$ , for some  $\mathbf{n} \in \mathbb{N}$ , so that a translation  $F_{i_j}$  of  $F_k$  contains  $x_{i_j}$  and has the property that  $F_{i_j} \cap C \setminus \{x_{i_j}\} = \emptyset$ . It follows that  $C \subset \overline{\bigcup_{j=1}^\infty F_{i_j}}$  and  $\overline{\bigcup_{j=1}^\infty F_{i_j}}$  is nowhere dense and perfect. Take  $h$  a homeomorphism such that  $h(\overline{\bigcup_{j=1}^\infty F_{i_j}}) = Q$ . Then,  $F = h(C)$  is a homeomorphic copy of  $C$  contained in  $Q$ .  $\square$

**Lemma 5.2.** *Let  $F$  be as in Lemma 5.1. There exists  $f : Q \rightarrow F$  such that  $f \in \text{Lip } 3$ .*

*Proof.* We define  $f : I \rightarrow I$  such that  $f \in \text{Lip } 3$  and  $f(Q) = F$ . Let  $\{(a_i, b_i)\}_{i=1}^\infty$  be an enumeration of the complementary intervals of  $F$ . If  $x \in I$ , then either  $x \in F$  or there exists  $j \in \mathbb{N}$  such that  $x \in (a_j, b_j)$ . If  $x \in F$ , define  $f(x) = x$ . If there exists  $j \in \mathbb{N}$  such that  $x \in (a_j, b_j)$ , let  $G_{\mathbf{n}|k} = (c_j, d_j) \subset (a_j, b_j)$  such that  $|b_j - a_j| \leq 3|G_{\mathbf{n}|k}|$ . Define  $f : [a_j, b_j] \rightarrow I$  continuous such that

$$f([a_j, c_j]) = a_j,$$

$$f([d_j, b_j]) = b_j,$$

and  $f|_{[c_j, d_j]}$  is linear. By construction,  $f(I) = \overline{\text{conv}}(F)$  and  $f \in \text{Lip } 3$ .  $\square$

**Lemma 5.3.** *Let  $C \in \mathcal{K}([0, 1])$  be countable and  $\epsilon > 0$ . There exist a homeomorphic copy  $F$  of  $C$  so that  $F \subset Q$  and  $f : Q \rightarrow F$  with  $f \in \text{Lip } \epsilon$ .*

*Proof.* By Lemma 5.1 there exists  $\tilde{F}$  a homeomorphic copy of  $C$  in  $Q$ . Take  $k$  such that  $(\frac{1}{3})^{k-1} < \epsilon$ . Let  $F_k = J_{\mathbf{n}|k} \cap Q$  be a canonical portion of  $Q$ . Then there exists a linear homeomorphism  $h : Q \rightarrow F_k$  such that  $h \in \text{Lip } (\frac{1}{3})^k$  and let  $F = h(\tilde{F})$ . From Lemma 5.2 there exists  $f : F_k \rightarrow F$  so that  $f \in \text{Lip } 3$ . Now,  $f \circ h : Q \rightarrow F$  is  $\text{Lip } (3)(\frac{1}{3})^k$ , that is  $\text{Lip } (\frac{1}{3})^{k-1}$ .  $\square$

Consider some  $G_{\mathbf{n}|k}$ . Let  $F_{\mathbf{n}|k} = F_{\mathbf{n}|k}^l \cup F_{\mathbf{n}|k}^r \subseteq G_{\mathbf{n}|k}$  where  $F_{\mathbf{n}|k}^l$  and  $F_{\mathbf{n}|k}^r$  each is a copy of the canonical portion  $J_{\mathbf{n}|2(k+1)} \cap Q$ , with  $\min F_{\mathbf{n}|k}^l = \min \overline{G_{\mathbf{n}|k}}$  and  $\max F_{\mathbf{n}|k}^r = \max \overline{G_{\mathbf{n}|k}}$ . Similarly, take  $F^0 = F^{0,l} \cup F^{0,r} \subset G = (\frac{1}{3}, \frac{2}{3})$  where  $F^{0,l}$  and  $F^{0,r}$  each is a copy of  $J_{00} \cap Q$ , with  $\min F^{0,l} = \frac{1}{3}$  and  $\max F^{0,r} = \frac{2}{3}$ . Let  $K = F^0 \cup (\bigcup_{\mathbf{n} \in \mathcal{N}} \bigcup_{k=1}^\infty F_{\mathbf{n}|k})$ .

**Lemma 5.4.** *There exists  $f \in \text{Lip } 9$  so that  $f(Q) = Q \cup K$ .*

*Proof.*

- (1) We wish to show that there exists  $f \in \text{Lip } 9$  so that  $f(Q) = Q \cup K$ . Let  $\{K_i\}_{i \in \mathbb{N}} \subseteq \mathcal{K}$  such that  $\lim_{i \rightarrow \infty} K_i = Q \cup K$  in  $(\mathcal{K}, \mathcal{H})$  and for each  $i$ ,  $f_i(Q) = K_i$  for some  $f_i \in \text{Lip } 9$ . Since  $\{f_i\}_{i=1}^\infty$  is both uniformly bounded and equicontinuous, there exists  $\{f_{i_j}\}_{j \in \mathbb{N}} \subseteq \{f_i\}_{i \in \mathbb{N}}$  and  $f$  such that  $\|f_{i_j} - f\| \rightarrow 0$  uniformly. This follows from the Ascoli-Arzelà Theorem. Using Lemma 4.2, it follows that

$$\begin{aligned} 0 &= \lim_{j \rightarrow \infty} \mathcal{H}(f(Q), f_{i_j}(Q)) \\ &= \lim_{j \rightarrow \infty} \mathcal{H}(f(Q), K_{i_j}) \\ &= \lim_{j \rightarrow \infty} \mathcal{H}(f(Q), Q \cup K). \end{aligned}$$

Thus, it suffices to take  $\{K_i\}_{i \in \mathbb{N}}$  in  $\mathcal{K}$  such that  $\lim_{i \rightarrow \infty} K_i = Q \cup K$  in  $(\mathcal{K}, \mathcal{H})$ , and determine the existence, for each  $i$ , of some  $f_i \in \text{Lip } 9$  so that  $f_i(Q) = K_i$ .

- (2) In our construction of  $Q \cup K$ , in each interval  $G_{\mathbf{n}|k}$  complementary to  $Q$ , we insert  $F_{\mathbf{n}|k}$ , comprised of two canonical portions  $J_{\mathbf{n}|2(k+1)} \cap Q$  of  $Q$ . Let  $K_i = F^0 \cup (\bigcup_{k=1}^i \bigcup_{\mathbf{n} \in \mathcal{N}} F_{\mathbf{n}|k})$ , so that  $K_i$  is comprised of  $2 \sum_{k=0}^i 2^k = 2(2^{i+1} - 1) = 2^{i+2} - 2$  portions, and  $K_i$  converges to  $Q \cup K$  in the Hausdorff metric. Let  $s = \frac{\log 2}{\log 3}$ , and note that  $9^s = 4$ . By construction,

$$\begin{aligned} \mathcal{H}^s(K_i) &= 2 \sum_{k=0}^i 2^k \left( \frac{1}{3^{2(k+1)}} \right)^s \mathcal{H}^s(Q) = \frac{2}{9^s} \left[ \sum_{k=0}^i \left( \frac{2}{9^s} \right)^k \right] \mathcal{H}^s(Q) \\ &= \frac{1}{2} \left[ \sum_{k=0}^i \left( \frac{1}{2} \right)^k \right] \mathcal{H}^s(Q) = \left[ 1 - \left( \frac{1}{2} \right)^{i+1} \right] \mathcal{H}^s(Q) \\ &< \mathcal{H}^s(Q). \end{aligned}$$

Should  $f_i$  be linear with slope 9, we have  $\mathcal{H}^s(f_i(J_{\mathbf{n}|k} \cap Q)) = 4\mathcal{H}^s(J_{\mathbf{n}|k} \cap Q)$ , for  $\mathbf{n} \in \mathcal{N}$  and  $k \in \mathbb{N}$ . In particular, we may have  $f_i(J_{\mathbf{m}|2k+4} \cap Q) = F_{\mathbf{n}|k}^l$  (or,  $F_{\mathbf{n}|k}^r$ ). Similarly, if  $f_i$  is linear with slope 9 on some  $G_{\mathbf{n}|k} = (a, b)$ , then  $|f_i(b) - f_i(a)| = 9(b - a)$ .

- (3) Since  $K_i \cap [0, \frac{1}{2}]$  is a reflection of  $K_i \cap [\frac{1}{2}, 1]$ , it suffices to determine the map  $f_i \in \text{Lip } 9$  from  $Q \cap [0, \frac{1}{3}]$  to  $K_i \cap [0, \frac{1}{3} + \frac{1}{9}]$ . As we develop  $f_i$ , for each maximal portion  $F_{\mathbf{n}|k}^l$  (or  $F_{\mathbf{n}|k}^r$ ) of  $K_i$ , we take a canonical portion  $J_{\mathbf{m}|2k+4} \cap Q$  of  $Q$ , so that  $f_i(J_{\mathbf{m}|2k+4} \cap Q) = F_{\mathbf{n}|k}^l$  (or  $F_{\mathbf{n}|k}^r$ ) is  $\text{Lip } 9$  there. One takes an appropriate complementary interval  $G_{\mathbf{j}|q}$  to bridge the gap between successive portions of  $K_i$ , so that  $f_i|_{G_{\mathbf{j}|q}} \in \text{Lip } m$ ,  $m \leq 9$ . Fix  $i$ ; we develop  $f_i$  from right to left. Let  $\mathbf{n} = 0111\dots$  so that  $J_{\mathbf{n}|4}$  is the right most component of  $E_4 \cap [0, \frac{1}{3}]$ . Let  $f_i(\frac{1}{3}) = \frac{1}{3} + \frac{1}{9}$ , and take  $f_i$  so that  $f_i(J_{\mathbf{n}|4} \cap Q) = F_{\mathbf{n}|4}^{0,l}$ . Then  $f_i|_{J_{\mathbf{n}|4} \cap Q} \in \text{Lip } 9$  since  $F_{\mathbf{n}|4}^{0,l}$  is congruent to  $J_{\mathbf{n}|2} \cap Q$ . Now, consider  $(a, b)$ , the first interval from the right complementary to portions of  $K_i$ , so that  $b = \frac{1}{3}$  and  $a = \max\{x \in K_i : x < \frac{1}{3}\}$ . Let  $G_{\sigma|k}$  be the first complementary interval from the right of  $Q \cap [0, \min J_{\mathbf{n}|4}]$  such that  $\frac{b-a}{|G_{\sigma|k}|} \leq 9$ . If  $G_{\sigma|k} = (c, d)$ , then  $f_i(c) = a$ ,  $f_i(d) = b$  and  $f_i([d, \min J_{\mathbf{n}|4}]) = f_i(\min J_{\mathbf{n}|4}) = b = \frac{1}{3}$ . Now, let  $F_{\mathbf{m}|s}^r$  be the next maximal portion from the right of  $K_i$ . By construction,  $F_{\mathbf{m}|s}^r$  is a canonical portion  $J_{\mathbf{m}|2(s+1)} \cap Q$ . We take  $J_{\mathbf{p}|2s+4} \cap Q$  to be the right most canonical portion of  $Q \cap [0, c]$ , and take  $f_i$  so that  $f_i(J_{\mathbf{p}|2s+4} \cap Q) = F_{\mathbf{m}|s}^r$ . Then  $f_i|_{J_{\mathbf{p}|2s+4} \cap Q} \in \text{Lip } 9$ . Set  $f_i([\max J_{\mathbf{p}|2s+4}, c]) = f_i(c) = a$ . We continue this construction by choosing the first element  $G_{\sigma'|k'} = (a', b')$  from the right contained in  $Q \cap [0, \min J_{\mathbf{p}|2s+4}]$  so that

$$\frac{\min F_{\mathbf{m}|s}^r - \max F_{\mathbf{m}|s}^l}{b' - a'} \leq 9,$$

and set  $f_i(a') = \max F_{\mathbf{m}|s}^l$ ,  $f_i(b') = \min F_{\mathbf{m}|s}^r$ , with  $f_i([b', \min J_{\mathbf{p}|2s+4}]) = \min F_{\mathbf{m}|s}^r$ . From our considerations in (2), this construction terminates after considering the  $2^{i+2} - 2$  portions of  $K_i$ , and the intervals complementary to its portions. Finally, set  $f_i([0, x]) = \min K_i$ , where  $f_i(x) = \min K_i$  from our construction.

□

**Corollary 5.5.** *Let  $k \geq 3$ . Let  $F$  be a canonical component of  $E_k$ . There exists  $f \in \text{Lip } (\frac{1}{3})^{k-2}$  such that  $f(Q) = F \cap (Q \cup K)$ .*

*Proof.* By Lemma 5.4 there exists  $f_1 \in \text{Lip } 9$  so that  $f_1(Q) = Q \cup K$ . Let  $f_2 \in \text{Lip } \frac{1}{3^k}$  such that  $f_2(Q \cup K) = F \cap (Q \cup K)$ . Let  $f = f_2 \circ f_1$ . Then, clearly,  $f \in \text{Lip } (\frac{1}{3})^{k-2}$  and  $f(Q) = F \cap (Q \cup K)$ .  $\square$

**Theorem 5.6.** *If  $F \in \mathcal{K}([0, 1])$  is nowhere dense and uncountable, then there exist  $F'$ , a homeomorphic copy of  $F$ , and an IFS,  $\mathcal{S} = \{S_1, \dots, S_n\}$  such that  $F' = \mathcal{S}(F')$ .*

*Proof.* Let  $F = P \cup C$ , where  $P \cap C = \emptyset$ ,  $P$  is the maximal perfect subset of  $F$ , and  $C$  is countable. Set  $C_l = \{x \in F : x \leq \min P\}$ ,  $C_r = \{x \in F : x \geq \max P\}$ , and  $P \cup C' = F \cap \overline{\text{conv}}(P)$ , where  $P \cap C' = \emptyset$ .

Now, take  $Q \cup S$  a homeomorphic copy of  $P \cup C'$  such that  $P$  is homeomorphic to  $Q$  and  $C'$  is homeomorphic to  $S$ , with  $S \subset K$ .

Our plan is to cover  $Q \cup S$  with a finite number of images of  $Q$ . First, we cover portions of the form  $J_{\mathbf{n}|k} \cap (Q \cup S)$  with  $Q$  using Corollary 5.5. This gives rise to  $2^k \text{Lip } (\frac{1}{3})^{k-2}$  maps, say  $g_1, g_2, \dots, g_{2^k}$ , with  $g_i(Q) = J_{\mathbf{n}|k} \cap (Q \cup S)$  and each  $g_i$  a distinct contraction map for each element of  $\{\mathbf{n}|k : \mathbf{n} \in \mathcal{N}\}$ . Now, consider

$$S \cap [(\cup_{j=1}^{k-1} \cup_{\mathbf{n} \in \mathcal{N}} G_{\mathbf{n}|j}) \cup (\frac{1}{3}, \frac{2}{3})],$$

or that part of  $S$  not contained in  $\cup_{\mathbf{n} \in \mathcal{N}} J_{\mathbf{n}|k}$ . Consider the set  $S \cap G_{\mathbf{n}|j} \subset F_{\mathbf{n}|j} = F_{\mathbf{n}|j}^l \cup F_{\mathbf{n}|j}^r$ . As one sees from the proof of Lemma 5.3, there exists a  $\text{Lip } (\frac{1}{3})^{2j+1}$  map  $g_i^*$  so that  $g_i^*(Q) = S \cap F_{\mathbf{n}|j}^*$ , where  $*$  is either  $l$  or  $r$ . Similarly, there exists a  $\text{Lip } \frac{1}{3}$  map  $g^*$  so that  $g^*(Q) = S \cap (F^{0,*})$ , where  $*$  is either  $l$  or  $r$ .

This gives rise to  $2^{k+1} - 2$  contraction maps, each having a Lipschitz constant less than  $\frac{1}{3}$ , which collectively cover that part of  $S$  contained in  $F^0 \cup (\cup_{\mathbf{n} \in \mathcal{N}} \cup_{j=1}^{k-1} F_{\mathbf{n}|j})$ .

Again, using Lemma 5.3, there exist in  $Q$  homeomorphic copies  $\tilde{C}_l$  and  $\tilde{C}_r$  of  $C_l$  and  $C_r$ , respectively, so that  $g_{C_l}(Q) = \tilde{C}_l$ ,  $g_{C_r}(Q) = \tilde{C}_r$  and  $g_{C_l}$  and  $g_{C_r}$  are each  $\text{Lip } (\frac{1}{3})^{k-1}$ . We now have a set of  $2^k + (2^{k+1} - 2) + 2 = 3 \cdot 2^k$  contraction maps, that we list as  $\mathcal{S}' = \{S'_i\}_{i=1}^{3 \cdot 2^k}$ , each defined on  $Q$ , so that

$$\cup_{i=1}^{3 \cdot 2^k} S'_i(Q) = (Q \cup S) \cup (\tilde{C}_l \cup \tilde{C}_r) = Q \cup D,$$

and  $Q \cup D$  is a homeomorphic copy of  $F$ .

We now extend each contraction map  $S'_i$ , and, for notational simplicity, we continue to call the extension  $S'_i$ , to  $Q \cup D$  so that

- (1)  $S'_i(\tilde{C}_l) = S'_i(\min Q)$  and  $S'_i(\tilde{C}_r) = S'_i(\max Q)$ ,
- (2)  $S'_i(F^{0,l}) = S'_i(\frac{1}{3})$  and  $S'_i(F^{0,r}) = S'_i(\frac{2}{3})$ ,  
and
- (3) for each  $\mathbf{n} \in \mathcal{N}$  and  $k \in \mathbb{N}$ ,  $S'_i(D \cap F_{\mathbf{n}|k}^l) = S'_i(\min \overline{G}_{\mathbf{n}|k})$  and  $S'_i(D \cap F_{\mathbf{n}|k}^r) = S'_i(\max \overline{G}_{\mathbf{n}|k})$ .

Thus,  $S'_i : Q \cup D \rightarrow J_{\mathbf{n}|k} \cap (Q \cup S)$  is  $\text{Lip } (\frac{1}{3})^{k-3}$ ,  $S'_i : Q \cup D \rightarrow S \cap F_{\mathbf{n}|j}^*$  is  $\text{Lip } (\frac{1}{3})^{2j}$ ,  $S'_i : Q \cup D \rightarrow S \cap F^{0,*}$  is less than  $\text{Lip } 1$  and  $S'_i : Q \cup D \rightarrow \tilde{C}_*$  is  $\text{Lip } (\frac{1}{3})^{k-2}$ . Take  $k = 5$ , and send a homeomorphic copy of  $Q \cup D$  into  $[0, 1]$  with a linear homeomorphism  $h$ . Our conclusion now follows, with  $h(Q \cup D) = F'$ , and  $\mathcal{S}$  comprised of  $3 \cdot 2^k$  contraction maps  $S_i = h \circ S'_i \circ h^{-1}$ .  $\square$

From Theorem 5.6 we know that every nowhere dense uncountable element of  $\mathcal{K}([0, 1])$  is homeomorphic to an attractor, and from Theorem 3.7 that the same may be said for countable sets of finite rank. Proposition 3.8 shows that any finite union of nondegenerate closed intervals in  $[0, 1]$  is itself an attractor. We conclude with the rather natural open problem:

Characterize those elements of  $\mathcal{K}([0, 1])$  which are (homeomorphic to) attractors for some contractive system  $\mathcal{S} = \{S_1, \dots, S_N\}$ .

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